

# FREEDOM OF INFORMATION MANUAL





REPUBLIC OF THE PHILIPPINES  
**WESTERN MINDANAO STATE UNIVERSITY**  
Normal Road, Baliwasan, Zamboanga City



# WMSU

## **FREEDOM OF INFORMATION (FOI) MANUAL**

Board Resolution No. 12 Series 2018

PURSUANT TO EXECUTIVE ORDER. 02, SERIES OF 2016  
OPERATIONALIZING IN THE EXECUTIVE BRANCH THE PEOPLE'S  
CONSTITUTIONAL RIGHT TO INFORMATION AND THE STATE POLICIES TO  
FULL PUBLIC DISCLOSURE AND TRANSPARENCY IN THE PUBLIC SERVICE  
AND PROVIDING GUIDELINES THEREFOR

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## **SECTION 1: OVERVIEW**

**1.1 Purpose of the Manual<sup>1</sup>:** The purpose of this Western Mindanao State University Freedom of Information Manual (WMSU FOI Manual, for brevity) is to provide the process to guide and assist the WESTERN MINDANAO STATE UNIVERSITY (WMSU, for brevity) and its campuses, in dealing with requests of information received under Executive Order (E.O.) No. 2, Series of 2016 on Freedom of Information (FOI) – (*See Annex “A”*).

**1.2 Objectives of the Manual<sup>2</sup>:** This WMSU FOI Manual shall set out the rules and procedures to be followed by WMSU and its campuses, when a request for access to information is received. The University President shall be responsible for all actions carried out under this WMSU FOI Manual and may delegate this responsibility to the Vice Presidents and Quality Management Officer. The University President or the duly designated Vice Presidents, Campus Directors/ Campus Deans, may delegate a specific officer to act as the FOI Decision Maker (FDM) who shall have overall responsibility for the initial decision on FOI request, (i.e. to decide whether to release all the records, partially release the records or deny access).

**1.3 Coverage of the Manual:** The WMSU FOI Manual shall cover all requests for information directed to WMSU and its campuses.

## **SECTION 2 DEFINITION OF TERMS<sup>3</sup>**

**ADMINISTRATIVE FOI APPEAL.** An independent review of the initial determination made in response to a FOI request. Requesting parties who are dissatisfied with the response made on their initial request have a right to appeal that initial determination to an office within the agency, which will then conduct an independent review.

**ANNUAL FOI REPORT.** A report to be filed each year by the Records and Archives Office (RAO) and Quality Management Office (QMO). Annual FOI Reports contain detailed

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<sup>1</sup> CHED Freedom of Information Manual

<sup>2</sup> Office of the President People’s Freedom of Information Manual; CHED Freedom of Information Manual

<sup>3</sup> CHED Freedom of Information Manual



statistics on the number of FOI requests received, processed, and pending at each office/department. (See Annex “H”)

**CONSULTATION.** When WMSU locates a record that contains information of interest to another office, it will ask for the views of that other agency on the disclosability of the records before any final determination is made. This process is called a “consultation”.

**data.gov.ph.** The Open Data website that serves as the government’s comprehensive portal for all public government data is searchable, understandable, and accessible.

**wmsu.edu.ph.** The website of the university that provides the public with relevant information about the university and its issuances and reports.

**FREEDOM OF INFORMATION (FOI).** The Executive Branch recognizes the right of the people to information on matters of public concern, and adopts and implements a policy of full public disclosure of all its transactions involving public interest, subject to the procedures and limitations provided in Executive Order No. 2. This right is indispensable to the exercise of the right of the people and their organizations to effective and reasonable participation at all levels of social, political and economic decision-making.

**foi@wmsu.edu.ph.** The email address of the Western Mindanao State University where FOI request can be made.

**FOI REQUEST.** A written request submitted to WMSU personally or by email asking for records on any topic. A FOI request can generally be made by any Filipino to any of the WMSU campuses.

**FOI RECEIVING OFFICE.** The Records and Archive Office (RAO) is the primary contact at WMSU or its campuses where the requesting party can call and ask questions about the FOI process or the pending FOI request.

**FREQUENTLY REQUESTED INFORMATION.** Info released in response to a FOI request that WMSU determines have become or are likely to become the subject of subsequent requests for substantially the same records.



**FULL DENIAL.** When the WMSU or any campuses cannot release any records in response to a FOI request, because, for example, the requested information is exempt from disclosure in its entirety or no records responsive to the request could be located.

**FULL GRANT.** When WMSU is able to disclose all records in full in response.

**INFORMATION.** Shall mean any records, documents, papers, reports, letters, contracts, minutes, and transcripts of official meetings, maps, books, photographs, data, research materials, films, sound and video recording, magnetic or other tapes, electronic data, computer stored data, any other like or similar data or materials recorded, stored or archived in whatever format, whether offline or online, which are made, received, or kept in or under the control and custody of any government office pursuant to law, executive order, and rules and regulations or in connection with the performance or transaction of official business by any government office.

**INFORMATION FOR DISCLOSURE.** Information promoting the awareness and understanding of policies, programs, activities, rules or revisions affecting the public, government agencies, and the community and economy. It also includes information encouraging familiarity with the general operations, thrusts and programs of the government. In line with the concept of proactive disclosure and open data, these types of information can already be posted to government websites, such as data.gov.ph, without need for written requests from the public.

**MULTI-TRACK PROCESSING.** A system that divides incoming FOI requests according to their complexity so that simple requests requiring relatively minimal review are placed in one processing track and more complex requests are placed in one or more other tracks. Requests granted expedited processing are placed in yet another track. Requests in each track are processed on a first in/first out basis.

**OPEN DATA.** Refers to the publicly available data structured in a way that enables the data to be fully discoverable and usable by end users.

**PARTIAL GRANT/PARTIAL DENIAL.** When a government office is able to disclose portions of the records in response to a FOI request, but must deny other portions of the request.



**PENDING REQUEST OR PENDING APPEAL.** An FOI request or administrative appeal for which WMSU has not yet taken final action in all respects. It captures anything that is open at a given time including requests that are well within the statutory response time.

**PERFECTED REQUEST.** A FOI request, which reasonably describes the records, sought and is made in accordance with WMSU's regulations.

**PERSONAL INFORMATION.** Shall refer to any information, whether recorded in a material form or not, from which the identify of an individual is apparent or can be reasonably and directly ascertained by the entity holding the information, or when put together with other information would directly and certainly identify an individual.

**PROACTIVE DISCLOSURE.** Information made publicly available by WMSU without waiting for a specific FOI request. WMSU is to post on their website a vast amount of material concerning their functions and mission.

**PROCESSED REQUEST OR PROCESSED APPEAL.** The number of requests or appeals where the agency has completed its work and sent a final response to the requester.

**PUBLIC RECORDS.** Shall include information required by laws, executive orders, rules, or regulations to be entered, kept, and made publicly available by WMSU.

**PUBLIC SERVICE CONTRACTOR.** Shall be defined as a private entity that has dealing, contract, or a transaction or whatever form or kind with the WMSU or its campuses that utilizes public funds.

**REFERRAL.** When a WMSU locates a record that originated with, or is of otherwise primary interest to another agency, it will forward that record to the other agency to process the record and to provide the final determination directly to the requester. This process is called a "referral."

**SENSITIVE PERSONAL INFORMATION.** As defined in the Data Privacy Act of 2012, shall refer to personal information:

1. About an individual race, ethnic origin, marital status, age, color, and religious philosophical or political affiliations;





2. About an individual health, education, genetic or sexual life of a person, or to any proceedings for any offense committed or alleged to have committed by such person, the disposal of such proceedings or the sentence of any court in such proceedings;
3. Issued by government agencies peculiar to an individual which includes, but not limited to, social security numbers, previous or current health records, licenses or its denials, suspension or revocation, and tax returns; and
4. Specifically established by an executive order or an act of Congress to be kept classified.

**SIMPLE REQUEST.** A FOI request that an agency anticipates will involve a small volume of material or which will be able to be processed relatively quickly.

### **SECTION 3**

#### **FUNCTIONS OF FRO, FDM AND CENTRAL APPEALS AND REVIEW COMMITTEE<sup>4</sup>**

**FOI Receiving Officer:** There shall be an FOI Receiving Officer (FRO) designated at the WMSU. The FRO shall preferably come from the Public Assistance or Information Office, or its equivalent, (in the SUCs). The FRO shall hold office at the Records and Archive Office.

The functions of the FRO shall include receiving on behalf of the WMSU and its campuses, all requests for information (Standard/ eFOI). The FRO shall maintain a logbook of all requests chronologically received for accountability purposes.

The request shall be forwarded to the appropriate person/ office who/ which has custody of the records. The FRO shall monitor all FOI requests and appeals, provide assistance to the FOI Decision Maker (FDM), provide assistance and support to the public and staff with regard to FOI, compile statistical information as required, and conduct initial evaluation of the request. Upon the completion of the evaluation within the period set in EO No. 02, series of 2016.

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<sup>4</sup> Office of the President People's Freedom of Information Manual



The FRO shall advise the requesting party whether the request will be forwarded to the FOI Decision Maker (FDM) for further evaluation, or deny the request based on:

That the form is incomplete; or

That the information is already disclosed in WMSU's Official Website ([wmsu.edu.ph](http://wmsu.edu.ph)) [foi.gov.ph](http://foi.gov.ph) or [data.gov.ph](http://data.gov.ph).

The WMSU campuses, other than the main campus where the administration building is located, shall assign their respective Unit Document Controllers (UDCs).

**FOI Decision Maker:** There shall be an FOI Decision Maker (FDM), designated by the University President, with a rank of not lower than a Division Chief or its equivalent, who shall conduct evaluation of the request for information and has the authority to grant the request, or deny it based on the following:

- a. That WMSU does not have the information requested;
- b. That the information requested contains sensitive personal information protected by the Data Privacy Act of 2012;
- c. That the information requested falls under the list of exceptions (*See Annex "C"*) to FOI; or
- d. That the request is an unreasonable subsequent identical or substantially similar request from the same requesting party whose request has already been previously granted or denied by WMSU.

**Central Appeals and Review Committee:** There shall be a central appeals and review committee composed of three (3) officials with a rank not lower than a Director or its equivalent, designated by the University President to review and analyze the grant or denial of request of information. The Committee shall also provide expert advice to the University President on the denial of such request.

*\*Annex B shows the FOI WMSU Freedom of Information (FOI) Authorities*



## **SECTION 4: PROMOTION OF OPENNESS IN GOVERNMENT<sup>5</sup>**

**Duty to Publish Information.** The WMSU and its campuses shall regularly publish, print and disseminate at no cost to the public and in an accessible form, in conjunction with Republic Act 9485, or the Anti-Red Tape Act of 2007, and through their website, timely, true, accurate and updated key information including, but not limited to:

- a. A description of its mandate, structure, powers, functions, duties and decision making process;
- b. A description of frontline services it delivers and the procedure and length of time by which they may be availed of;
- c. The names of its key officials, their powers, functions and responsibilities, and their profiles and curriculum vitae;
- d. Work programs, development plans, investment plans, projects, performance targets and accomplishments, and budgets, revenue allotments and expenditures;
- e. Important rules and regulations, orders or resolutions;
- f. Current and important database and statistics that it generates;
- g. Bidding processes and requirements; and
- h. Mechanisms or procedures by which the public may participate in or otherwise influence the formulation of policy or the exercise of its powers.

**Accessibility of Language and Form.** The WMSU and its campuses shall endeavor to translate key information into major Filipino languages and present them in popular form and means.

**Keeping of Records.** The WMSU and its campuses shall create and/or maintain in appropriate formats, accurate and reasonably complete documentation or records, policies, transactions, decisions, resolutions, enactments, actions, procedures, operations, activities, communications and documents received or filed with them and the data generated or collected.

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<sup>5</sup> CHED Freedom of Information Manual



## **SECTION 5: PROTECTION OF PRIVACY<sup>6</sup>**

While providing for access to information, the WMSU and its campuses shall afford full protection to a person's right to privacy, as follows:

- a. The WMSU and its campuses shall ensure that personal information, particularly sensitive personal information, in its custody or under its control is disclosed only as permitted by existing laws;
- b. The WMSU and its campuses shall protect personal information in its custody or under its control by making reasonable security arrangements against unauthorized access, leaks or premature disclosure;
- c. WMSU officials or employees who have access; authorized or unauthorized, to personal information in the custody of the office, must not disclose that information except when authorized under Executive Order No.2 or pursuant to existing laws, rules or regulation.

## **SECTION 6: STANDARD PROCEDURE<sup>7</sup>**

*(See ANNEX "D" FOI Process Request Details)*

### **6.1 Receipt of Request for Information.**

1. The FOI Receiving Officer (FRO) shall receive the request for information from the requesting party and check compliance of the following requirements:
  - The request must be in writing;
  - The request shall state the name and contact information of the requesting party, as well as provide valid proof of identification or authorization; and
  - The request shall reasonably describe the information requested, and the reason for, or purpose of, the request for information. *(See Annex "E")*

The request can be made through email, provided that the requesting party shall attach in the email a scanned copy of the FOI application request, and a copy of a duly recognized government ID with photo.

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<sup>6</sup> CHED Freedom of Information Manual

<sup>7</sup> Office of the President People's Freedom of Information Manual; CHED Freedom of Information Manual



2. In case the requesting party is unable to make a written request, because of illiteracy or due to being a person with disability, he/she may make an oral request, and the FRO shall reduce it in writing.
3. The request shall be stamped received by the FRO, indicating the date and time of the receipt of the written request, and the name, rank, title and position of the public officer who actually received it, with a corresponding signature and a copy, furnished to the requesting party. In case of email requests, the email shall be printed out and shall follow the procedure mentioned above, and be acknowledged by electronic email. The FRO input the details of the request on the Request Tracking System and allocate a reference number.
4. The WMSU and its campuses must respond to request promptly, within the fifteenth (15) working days following the date of receipt of the request. A working day is any day other than a Saturday, Sunday or a day which is declared a national public holiday in the Philippines. In computing for the period Art 13 of the New Civil Code shall be observed.

The date of the receipt of the request will be either:

- a. The day on which the request is physically or electronically delivered to the government office, or directly into the email inbox of a member or staff; and
- b. If the government office has asked the requesting party for further details to identify and locate the requested information, the date on which the necessary clarification is received.

An exception to this will be where the request has been emailed to an absent member of staff, and this has generated an 'out of office' message with instructions on how to re-direct the message to another contact. Where this is the case, the date of receipt will be the day the request arrives in the inbox of that contact.

Should the requested information need further details to identify or locate, then the 15 working days will commence the day after it receives the required clarification from the requesting party.



**6.2 Initial Evaluation.** After receipt of the request for information, the FRO shall evaluate the contents of the request.

**6.2.1 Request relating to more than one office other than WMSU:** If a request for information is received which requires to be complied with, of different attached agencies, bureaus and offices, the FRO shall forward such request to the said attached agency, bureau and office concerned and ensure that it is well coordinated and monitor its compliance. The FRO shall also clear with the respective FROs of such agencies, bureaus and offices that they will be only provided the specific information that relates to their agencies, bureaus and offices.

**6.2.2 Requested information is not in the custody of WMSU and its campuses:** If the requested information is not in the custody of the WMSU and its campuses, following referral and discussions with the FDM, the FRO shall undertake the following steps:

- If the records requested refer to another department, agency, division or office, the requested will be immediately transferred to such appropriate department, agency, division or office through the most expeditious manner and the transferring office must inform the requesting party that the information is not held within the 15 working day limit. The 15 working day requirement for the receiving office commences the day after it receives the request.
- If the records refer to an office not within the coverage of E.O. No. 02, the requesting party shall be advised accordingly and provided with the contact details of that office, if known.

**6.2.3 Requested information is already posted and available online:** Should the information being requested is already posted and publicly available in the WMSU's website ([www.wmsu.edu.ph](http://www.wmsu.edu.ph)), or [data.gov.ph](http://data.gov.ph) or [foi.gov.ph](http://foi.gov.ph), the FRO shall be denied. However, the FRO shall inform the applicant reason of such denial.



**6.2.4 Requested information is substantially similar or identical to the**

**previous request:** Should the requested information be substantially similar or identical to a previous request by the same requester, the request shall be denied. However, the FRO shall inform the applicant of the reason of such denial.

**6.2.5 Requests exempted from coverage:** If the request is exempted from coverage, the FRO shall recommend the denial of the request for information to the FDM. The FDM shall evaluate the recommendation of the FRO and shall notify the requesting party of the decision of the request.  
*(See Annex "F-3" for details).*

**6.3 Transmittal of Request by the FRO to the FDM:** After receipt of the request for information, the FRO shall evaluate the information being requested, and notify the FDM of such request. The copy of the request shall be forwarded to such FDM within one (1) day from receipt of the written request. The FRO shall record the date, time and name of the FDM who received the request in a record book with the corresponding signature of acknowledgement of receipt of the request.

**6.4 Role of FDM in processing the request:** Upon receipt of the request for information from the FRO, the FDM shall assess and clarify the request if necessary. He/she shall make all necessary steps to locate and retrieve the information requested. The FDM shall ensure that the complete information requested be submitted to the FRO within 10 days upon receipt of such request.

The FRO shall note the date and time of receipt of the information from the FDM and report to the University President or Vice-Presidents and the Campus Directors/ Campus Deans concerned, in case submission is beyond the 10-day period.

If the FDM needs further details to identify or locate the information, he/she shall, through the FRO, seek clarification from the requesting party who will be advised to answer the clarificatory questions within three (3) working days from receipt of notice either personally, thru e-mail, landline or mobile number. The requesting party, at his/her option, should respond to the clarificaory questions either thru email, personally, landline or mobile number otherwise, the request shall not be acted upon.



The clarification shall stop the running of the 15 working day period and will commence the day after it receives the required clarification from the requesting party.

If the FDM determines that a record contains information of interest to another office, the FDM shall consult with the agency concerned on the disclosability of the records before making any final determination.

**6.5 Role of FRO to transmit the information to the requesting party:** Upon receipt of the requested information from the FDM, the FRO shall collate and ensure that the information is complete. He/She shall attach a cover/transmittal letter signed by the University President and ensure the transmittal of such to the requesting party within 15 working days upon receipt of the request for information.

**6.6 Request for an Extension of Time:** If the information requested requires extensive search of the government's office records facilities, examination of voluminous records, the occurrence of fortuitous events or other analogous cases, the FDM should inform the FRO. The FRO shall inform the requesting party of the extension, setting forth the reasons for such extension. In no case shall the extension exceed twenty (20) working days on top of the mandated fifteen (15) working days to act on the request, unless exceptional circumstances warrant a longer period.

**6.7 Notice to the Requesting Party of the Approval/Denial of the Request:** Once the FDM approved or denied the request, he/she shall immediately notify the FRO who shall prepare the response to the requesting party either in writing or by email. All actions on FOI requests, whether approval or denial, shall pass through the University President or his/her deputize for final approval.

**6.8 Approval of Request:** In case of approval, the FRO shall ensure that all records that have been retrieved and considered be checked for possible exemptions (*See Annex "C"*), prior to actual release. The FRO shall prepare the letter or email (*See Annex "F-1" and "F-2"*) informing the requesting party within the prescribed period that the request was granted and be directed to pay the applicable fees, if any.

**6.9 Denial of Request:** In case of denial of the request wholly or partially, the FRO shall, within the prescribed period, notify the requesting party of the denial in writing. The notice shall clearly set forth the ground or grounds for denial and the circumstances





on which the denial is based. Failure to notify the requesting party of the action taken on the request within the period herein provided shall be deemed a denial of the request to information. All denial on FOI requests shall pass through the University President.

**6.10 Grounds for Denial:** An FOI request may be denied based on the following grounds:

- a. The office does not have possession or custody of the information requested;
- b. The information requested falls under the Exceptions to FOI, or
- c. The request is an unreasonable subsequent identical or substantially similar request from the same requesting party whose request has already been previously granted or denied by the OP.

## **SECTION 7: REMEDIES IN CASE OF DENIAL<sup>8</sup>**

A person whose request for access to information has been denied may avail himself of the remedy set forth below:

1. Administrative FOI Appeal to the WMSU Central Appeals and Review Committee:  
The written appeal must be filed by the same requesting party within fifteen (15) calendar days from the notice of denial or from the lapse of the period to respond to the request.
  - a. Denial of the Appeal by the WMSU Appeals and Review Committee may be appealed by filing a written appeal to the University President within fifteen (15) calendar days from the notice of denial or from the lapse of the period to respond to the request.
  - b. The appeal shall be decided by the University President upon the recommendation of the WMSU Central Appeals and Review Committee within thirty (30) working days from the filing of said written appeal. Failure to decide within the 30-day period shall be deemed a denial of the appeal.
2. Upon exhaustion of administrative FOI appeal remedies, the requesting party may file the appropriate judicial action in accordance with the Rules of Court.

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<sup>8</sup> Office of the President People's Freedom of Information Manual; CHED Freedom of Information Manual



## **SECTION 8: REQUEST TRACKING SYSTEM**

The WMSU and its campuses shall establish a system to trace the status of all requests for information received by it, which may be paper-based, on-line (eFOI) or both.

## **SECTION 9: FEES**

1. **No Request Fee.** The WMSU and its campuses shall not charge any fee for accepting requests for access to information.
2. **Reasonable Cost of Reproduction and Copying of the Information:** The FRO shall immediately notify the requesting party in case there shall be a reproduction and copying fee in order to provide the information. Such fee shall be the actual amount spent by WMSU and its campuses in providing the information to the requesting party. The schedule of fees shall be posted by WMSU and its campuses.
3. **Exemption from Fees:** The WMSU and its campuses may exempt any requesting party from payment of fees, upon request stating the valid reason why such requesting party shall not pay the fee.

## **SECTION 10: ADMINISTRATIVE LIABILITY**

9.1 **Non-compliance with FOI.** Failure to comply with the provisions of this Manual shall be a ground for the following administrative penalties:

- a. 1st Offense - Reprimand;
- b. 2nd Offense - Suspension of one (1) to thirty (30) days; and
- c. 3rd Offense - Dismissal from the service.

9.2 **Procedure.** The Revised Rules on Administrative Cases in the Civil Service shall be applicable in the disposition of cases under this Manual.

9.3 **Provisions for More Stringent Laws, Rules and Regulations.** Nothing in this Manual shall be construed to derogate from any law, any rules, or regulation prescribed by anybody or agency, which provides for more stringent penalties.



**ANNEX "A"**  
**EXECUTIVE ORDER NO.02<sup>9</sup>**



MALACAÑAN PALACE  
MANILA

BY THE PRESIDENT OF THE PHILIPPINES

EXECUTIVE ORDER NO. 02

**OPERATIONALIZING IN THE EXECUTIVE BRANCH THE PEOPLE'S  
CONSTITUTIONAL RIGHT TO INFORMATION AND THE STATE  
POLICIES OF FULL PUBLIC DISCLOSURE AND TRANSPARENCY  
IN THE PUBLIC SERVICE AND PROVIDING GUIDELINES  
THEREFOR**

**WHEREAS**, pursuant to Section 28, Article II of the 1987 Constitution, the State adopts and implements a policy of full public disclosure of all its transactions involving public interest, subject to reasonable conditions prescribed by law;

**WHEREAS**, Section 7, Article III of the Constitution guarantees the right of the people to information on matters of public concern;

**WHEREAS**, the incorporation of this right in the Constitution is a recognition of the fundamental role of free and open exchange of information in a democracy, meant to enhance transparency and accountability in government official acts, transactions, or decisions;

**WHEREAS**, the Executive Branch recognizes the urgent need to operationalize these Constitutional provisions;

**WHEREAS**, the President, under Section 17, Article VII of the Constitution, has control over all executive departments, bureaus and offices, and the duty to ensure that the laws be faithfully executed;

**WHEREAS**, the Data Privacy Act of 2012 (R.A. 10173), including its Implementing Rules and Regulations, strengthens the fundamental human right of privacy and of communication while ensuring the free flow of information to promote innovation and growth;

**NOW, THEREFORE, I, RODRIGO ROA DUTERTE**, President of the Philippines, by virtue of the powers vested in me by the Constitution and existing laws, do hereby order:

THE PRESIDENT OF THE PHILIPPINES

<sup>9</sup> Office of the President Executive Order No.02



**SECTION 1. Definition.** For the purpose of this Executive Order, the following terms shall mean:

- (a) "Information" shall mean any records, documents, papers, reports, letters, contracts, minutes and transcripts of official meetings, maps, books, photographs, data, research materials, films, sound and video recordings, magnetic or other tapes, electronic data, computer-stored data, or any other like or similar data or materials recorded, stored or archived in whatever format, whether offline or online, which are made, received, or kept in or under the control and custody of any government office pursuant to law, executive order, and rules and regulations or in connection with the performance or transaction of official business by any government office.
- (b) "Official record/records" shall refer to information produced or received by a public officer or employee, or by a government office in an official capacity or pursuant to a public function or duty.
- (c) "Public record/records" shall include information required by laws, executive orders, rules, or regulations to be entered, kept and made publicly available by a government office.

**SECTION 2. Coverage.** This order shall cover all government offices under the Executive Branch, including but not limited to the national government and all its offices, departments, bureaus, and instrumentalities, including government-owned or -controlled corporations, and state universities and colleges. Local government units (LGUs) are enjoined to observe and be guided by this Order.

**SECTION 3. Access to Information.** Every Filipino shall have access to information, official records, public records, and documents and papers pertaining to official acts, transactions or decisions, as well as to government research data used as basis for policy development.

**SECTION 4. Exception.** Access to information shall be denied when the information falls under any of the exceptions enshrined in the Constitution, existing laws or jurisprudence.

The Department of Justice and the Office of the Solicitor General are hereby directed to prepare an inventory of such exceptions and submit the same to the Office of the President within thirty (30) calendar days from the date of effectivity of this Order.

The Office of the President shall thereafter immediately circularize the inventory of exceptions for the guidance of all government offices and instrumentalities covered by this Order and the general public.

Said inventory of exceptions shall periodically be updated to properly reflect any change in existing law and jurisprudence and the Department of Justice and the Office of the Solicitor General are directed to update the inventory of exceptions as



the need to do so arises, for circularization as hereinabove stated.

**SECTION 5. Availability of SALN.** Subject to the provisions contained in Sections 3 and 4 of this Order, all public officials are reminded of their obligation to file and make available for scrutiny their Statements of Assets, Liabilities and Net Worth (SALN) in accordance with existing laws, rules and regulations, and the spirit and letter of this Order.

**SECTION 6. Application and Interpretation.** There shall be a legal presumption in favor of access to information, public records and official records. No request for information shall be denied unless it clearly falls under any of the exceptions listed in the inventory or updated inventory of exceptions circularized by the Office of the President as provided in Section 4 hereof.

The determination of the applicability of any of the exceptions to the request shall be the responsibility of the Head of the Office which has custody or control of the information, public record or official record, or of the responsible central or field officer duly designated by him in writing.

In making such determination, the Head of the Office or his designated officer shall exercise reasonable diligence to ensure that no exception shall be used or availed of to deny any request for information or access to public records or official records if the denial is intended primarily and purposely to cover up a crime, wrongdoing, graft or corruption.

**SECTION 7. Protection of Privacy.** While providing access to information, public records, and official records, responsible officials shall afford full protection to an individual's right to privacy as follows:

- (a) Each government office per Section 2 hereof shall ensure that personal information in its custody or under its control is disclosed or released only if it is material or relevant to the subject matter of the request and its disclosure is permissible under this Order or existing laws, rules or regulations;
- (b) Each government office must protect personal information in its custody or control by making reasonable security arrangements against leaks or premature disclosure of personal information which unduly exposes the individual whose personal information is requested to vilification, harassment, or any other wrongful acts; and
- (c) Any employee or official of a government office per Section 2 hereof who has access, authorized or unauthorized, to personal information in the custody of the office must not disclose that information except when authorized under this Order or pursuant to existing laws, rules or regulations.

**SECTION 8. People's Freedom of Information (FOI) Manual.** For the effective implementation of this Order, every government office is directed to prepare within one hundred twenty (120) calendar days from the effectivity of this Order, its



own People's FOI Manual, which shall include, among others, the following information:

- (a) The location and contact information of the head, regional, provincial, and field offices, and other established places where the public can submit requests to obtain information;
- (b) The person or officer responsible for receiving requests for information;
- (c) The procedure for the filing and processing of the request, as provided in the succeeding Section 9 of this Order;
- (d) The standard forms for the submission of requests and for the proper acknowledgment of such requests;
- (e) The process for the disposition of requests;
- (f) The procedure for administrative appeal of any denial of request for access to information; and
- (g) The schedule of applicable fees.

**SECTION 9. Procedure.** The following procedure shall govern the filing and processing of requests for access to information:

- (a) Any person who requests access to information shall submit a written request to the government office concerned. The request shall state the name and contact information of the requesting party, provide valid proof of his identification or authorization, reasonably describe the information requested, and the reason for, or purpose of, the request for information: *Provided*, that no request shall be denied or refused acceptance unless the reason for the request is contrary to law, existing rules and regulations, or it is one of the exceptions contained in the inventory of exceptions as hereinabove provided.
- (b) The public official receiving the request shall provide reasonable assistance, free of charge, to enable all requesting parties, particularly those with special needs, to comply with the request requirements under this Section.
- (c) The request shall be stamped by the government office, indicating the date and time of receipt and the name, rank, title or position of the receiving public officer or employee with the corresponding signature, and a copy thereof furnished to the requesting party. Each government office shall establish a system to trace the status of all requests for information received by it.
- (d) The government office shall respond to a request fully compliant with the requirements of sub-section (a) hereof as soon as practicable but not exceeding fifteen (15) working days from the receipt thereof. The response mentioned above refers to the decision of the office concerned to grant or deny access to the information requested.
- (e) The period to respond may be extended whenever the information requested requires extensive search of the government office's records facilities, examination of voluminous records, the occurrence of fortuitous events or other analogous cases. The government office shall



notify the person making the request of such extension, setting forth the reasons for the extension. In no case shall the extension go beyond twenty (20) working days counted from the end of the original period, unless exceptional circumstances warrant a longer period.

- (f) Once a decision is made to grant the request, the person making the request shall be notified of such decision and directed to pay any applicable fees.

**SECTION 10. Fees.** Government offices shall not charge any fee for accepting requests for access to information. They may, however, charge a reasonable fee to reimburse necessary costs, including actual costs of reproduction and copying of the information requested, subject to existing rules and regulations. In no case shall the applicable fees be so onerous as to defeat the purpose of this Order.

**SECTION 11. Identical or Substantially Similar Requests.** The government office shall not be required to act upon an unreasonable subsequent identical or substantially similar request from the same requesting party whose request has already been previously granted or denied by the same government office.

**SECTION 12. Notice of Denial.** If the government office decides to deny the request wholly or partially, it shall, as soon as practicable and within fifteen (15) working days from the receipt of the request, notify the requesting party of the denial in writing. The notice shall clearly set forth the ground or grounds for denial and the circumstances on which the denial is based. Failure to notify the requesting party of the action taken on the request within the period herein provided shall be deemed a denial of the request for access to information.

**SECTION 13. Remedies in Case of Denial of Request for Access to Information.** A person whose request for access to information has been denied may avail himself of the remedies set forth below:

- (a) Denial of any request for access to information may be appealed to the person or office next higher in authority, following the procedure mentioned in Section 8 (f) of this Order: Provided, that the written appeal must be filed by the same person making the request within fifteen (15) calendar days from the notice of denial or from the lapse of the relevant period to respond to the request.
- (b) The appeal shall be decided by the person or office next higher in authority within thirty (30) working days from the filing of said written appeal. Failure of such person or office to decide within the afore-stated period shall be deemed a denial of the appeal.
- (c) Upon exhaustion of administrative appeal remedies, the requesting party may file the appropriate judicial action in accordance with the Rules of Court.

**SECTION 14. Keeping of Records.** Subject to existing laws, rules, and regulations, government offices shall create and/or maintain accurate and reasonably complete records of important information in appropriate formats, and implement a



records management system that facilitates easy identification, retrieval and communication of information to the public.

**SECTION 15. Administrative Liability.** Failure to comply with the provisions of this Order may be a ground for administrative and disciplinary sanctions against any erring public officer or employee as provided under existing laws or regulations.

**SECTION 16. Implementing Details.** All government offices in the Executive Branch are directed to formulate their respective implementing details taking into consideration their mandates and the nature of information in their custody or control, within one hundred twenty (120) days from the effectivity of this Order.

**SECTION 17. Separability Clause.** If any section or part of this Order is held unconstitutional or invalid, the other sections or provisions not otherwise affected shall remain in full force and effect.

**SECTION 18. Repealing Clause.** All orders, rules and regulations, issuances or any part thereof inconsistent with the provisions of this Executive Order are hereby repealed, amended or modified accordingly: *Provided*, that the provisions of Memorandum Circular No. 78 (s. 1964), as amended, shall not be deemed repealed pending further review.

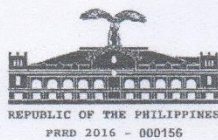
**SECTION 19. Effectivity.** This Order shall take effect immediately upon publication in a newspaper of general circulation.

Done, in the City of Manila, this 23<sup>rd</sup> day of July in the year of our Lord Two Thousand and Sixteen.

By the President:

*R Duterte*

*SALVADOR C. MEDIALDEA*  
Executive Secretary



CERTIFIED COPY:

MARIANITO M. DIMAANDAL  
DIRECTOR IV  
MALACANANG RECORDS OFFICE

6





**ANNEX “B”**

**WMSU FREEDOM OF INFORMATION (FOI) AUTHORITIES**

<b>AUTHORITIES</b>	<b>DUTIES &amp; RESPONSIBILITIES</b>	<b>OFFICIAL</b>
<b>FOI Receiving Officer (FRO)</b>	<ul style="list-style-type: none"> <li>Receives on behalf of WMSU and its campuses, all FOI request for information;</li> <li>Maintains a logbook of all requests chronologically received for accountability purposes;</li> <li>Forwards request to appropriate person/ office who has custody of records;</li> <li>Monitors all FOI request and appeals both in paper based standard and e-FOI;</li> <li>Provides assistance and support to the public and staff with regard to FOI;</li> <li>Compile statistical information as required such as agency information inventory, FOI summary/ quarterly report/ FOI registry;</li> <li>Manages the e-FOI portal;</li> <li>Advises the requesting party on request evaluation, status or deny request based on criteria.</li> </ul>	<b>University Records Officer</b>
<b>FOI Decision Maker(s) (FDM)</b>	<ul style="list-style-type: none"> <li>Conducts evaluation of the request for information;</li> <li>Forward and recommend to University President to University President the merit of request as bases for approval or denial.</li> <li>Updates Agency Information in coordination with FRO and e-FOI management team.</li> <li>Has authority to grant or deny request based on legal criteria.</li> </ul>	Deputy FDM: <b>Quality Management Officer</b>  Over-all FDM/ Approving Authority: <b>University President</b>
<b>Central Appeals and Review Committee</b>  <i>*Composed of 3 Officials with a rank not lower than a Director, designated by University President</i>	<ul style="list-style-type: none"> <li>Reviews and analyze the grant or denial of request of information;</li> <li>Provide expert advice to the University President on the denial of such request.</li> </ul>	<b>University Legal Officer</b>  <b>Chief, Internal Audit</b>  <b>PAO Director</b>
<b>Others</b>		
<b>FOI Staff</b>	<ul style="list-style-type: none"> <li>Prepares, update, revise FOI manual, procedures and forms</li> <li>Liaises between FRO, FDM and Appeal committee;</li> <li>Monitors e-FOI portal for FDM</li> <li>Prepares FOI Report</li> <li>Reply to FOI emails, calls.</li> <li>Reports to the FDM.</li> </ul>	<b>Quality Management Office Staff/s</b>
<b>e-FOI Management Staff</b>	<ul style="list-style-type: none"> <li>Manages the FOI request using the e-FOI.</li> <li>Download and prints e-FOI registry and quarterly report;</li> <li>Coordinates with the WMSU FRO;</li> <li>Updates changes on e-FOI portal.</li> <li>Informs WMSU FRO, FDM of e-FOI requests status and progress.</li> </ul>	<b>MISTO Director</b>  <b>MISTO and RAO Staff</b>
<b>FOI Coordinators</b>	<ul style="list-style-type: none"> <li>Prepares the requested information as requested by the WMSU FRO;</li> <li>Locates the information at the level of the unit;</li> <li>Coordinates the FRO and Head of Unit;</li> <li>Inputs suggestion on the disclosure or non-disclosure of the information.</li> <li>Reports to the FRO of information inventory</li> </ul>	<b>Respective Unit Document Controllers (UDCs)</b>



## ANNEX "C" LIST OF EXCEPTIONS<sup>10</sup>

1. Information covered by Executive privilege;
2. Privileged information relating to national security, defense or international relations;
3. Information concerning law enforcement and protection of public and personal safety;
4. Information deemed confidential for the protection of the privacy of persons and certain individuals such as minors, victims of crimes, or the accused;
5. Information, documents or records known by reason of official capacity and are deemed as confidential, including those submitted or disclosed by entities to government agencies, tribunals, boards, or officers, in relation to the performance of their functions, or to inquiries or investigation conducted by them in the exercise of their administrative, regulatory or quasi-judicial powers.
6. Prejudicial premature disclosure;
7. Records of proceedings or information from proceedings which, pursuant to law or relevant rules and regulations, are treated as confidential or privileged;
8. Matters considered confidential under banking and finance laws, and their amendatory laws; and
9. Other exceptions to the right to information under laws, jurisprudence, rules and regulations.

*\*For the full list, please see Memo of Inventory of Exceptions release by OES at [foi.gov.ph/resources](http://foi.gov.ph/resources)*

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<sup>10</sup> Office of the President Memorandum from the Executive Secretary



**ANNEX “D”**

**FOI PROCESS REQUEST DETAILS**

ACTIVITY	PROCEDURES	DAY	RESPONSIBLE PERSON
	<p><b>1. RECEIVING REQUEST</b></p> <p>1.1 Check if the request is valid.</p> <p>1.2 Stamp received.</p> <p>1.3 Provide copy to the requestor.</p> <p>1.4 Log details on FOI Tracker.</p> <p>1.5 Plan work needed with FOI Decision Maker (FDM).</p>	<p><b>Day 1</b></p>	<p>FOI Receiving Officer (FRO)</p>
<p>Seek clarification</p> <p>Denied Request</p> <p>B</p>	<p><b>2. CLARIFY REQUEST</b></p> <p>2.1 If not clear what information is requested, seek clarification (<b>15 working days clock stops</b>).</p> <p>2.2 Provide appropriate advice and assistance to requestor.</p> <p>2.3 When clarification is received, close request (after 60 days from receipt) and not notify applicant.</p> <p>2.4 When clarification is received, NEW working day period starts.</p> <p>2.5 Forward to FDM.</p>	<p><b>Day 1</b></p>	<p>FOI Receiving Officer (FRO)</p>
<p>LOCATE INFORMATION</p> <p>A</p>	<p><b>3. ASSESS REQUEST</b></p> <p>3.1 Does the agency hold the information requested.</p> <p>3.2 Is the information already accessible/ publishing available.</p> <p>3.3 Is the request a repeat of a previous request from the same applicant.</p>	<p><b>Day 2 – 4</b></p>	<p>FOI Receiving Officer (FRO) &amp; FOI Decision Maker (FDM)</p>
<p>A</p>	<p><b>4. LOCATE INFORMATION</b></p> <p>4.1 Obtain all relevant information.</p> <p>4.2 Prepare schedule of all information located.</p>	<p><b>Day 2 – 4</b></p>	<p>FOI Decision Maker (FDM)</p>



ACTIVITY	PROCEDURES	DAY	RESPONSIBLE PERSON
<pre> graph TD     A((A)) --&gt; I[INFORM/CONSULT]     B((B)) --&gt; I     I --&gt; C[CONSIDER RESPONSE]     C --&gt; CL[CLEARING RESPONSE]     CL --&gt; IR[ISSUE RESPONSE]     IR --&gt; E((END))                     </pre>	<b>5. INFORM/ CONSULT</b>		
	5.1 Other officials with key interest.	<b>Day 2 – 4</b>	FOI Decision Maker (FDM)
	<b>6. CONSIDER RESPONSE</b>		
	6.1 Review contents of documents.	<b>Day 5 - 8</b>	FOI Decision Maker (FDM)
	6.2 Consider comment advice of officials.		
	<b>7. CLEARING RESPONSE</b>		
	7.1 Seek clearance from the President, if necessary.	<b>Day 9 – 10</b>	
	<b>8. ISSUE RESPONSE</b>		
8.1 Prepare information for release – scan or photocopy documents.	<b>Day 11- 15</b>	FOI Receiving Officer (FRO)	
8.2 If applicant asked for information in a certain format, comply with their preference. If practical.			
8.3 Update FOI Tracker and Save response.			

**Notes:**

1. This table sets our targets, at various points within the 15 working day response period, for completion of key steps in the process of handling an FOI request.
2. Each FOI request is different, so not all of these actions will be required in each case – some will be much simpler – and sometimes actions will be completed earlier or later than the targets in this table. However, it is always important to allow sufficient time for Decision Makers, etc. to clear FOI responses before expiry of the 15 working day deadline.
3. For any request, it is essential to start looking at it as soon as it is received, to access what work needs to be done to plan that work so that the request is answered on time. This table is intended to help with that planning.



**ANNEX "E"**

**WMSU FREEDOM OF INFORMATION (FOI) REQUEST FORM**



Republic of the Philippines  
**WESTERN MINDANAO STATE UNIVERSITY**  
Normal Road, Baliwasan, Zamboanga City  
Tel. No. 991 - 1771 Fax No. (062) 992 - 4288



**Freedom of Information (FOI) Request Form**

		FOI Tracking No:	FOI-2018-000
<b>A. REQUESTING PARTY</b> (You are required to supply your name and address for correspondence. Additional contact details will help us deal with your application and correspond with you in the manner you prefer.)			
Title (e.g. Mr, Mrs, Ms)		Given Name/s (including M.I)	
		Surname	
Complete Home Address:			
Telephone/Mobile Number:		Email Address:	
Preferred Mode of Communication: <input type="checkbox"/> Landline <input type="checkbox"/> Mobile <input type="checkbox"/> Email <input type="checkbox"/> Postal Address <i>(If your request is successful, we will be sending the documents to you in this manner)</i>			
Preferred Mode of Reply: <input type="checkbox"/> Email <input type="checkbox"/> Fax <input type="checkbox"/> Postal Address <input type="checkbox"/> Pick-up at Agency			
Type of Given ID: <input type="checkbox"/> Passport <input type="checkbox"/> Driver's License <input type="checkbox"/> SSS ID <input type="checkbox"/> Postal ID <input type="checkbox"/> Voter's ID <input type="checkbox"/> Company/Agency ID <input type="checkbox"/> School ID    Others: _____			
<b>B. REQUESTED INFORMATION</b>			
Title of Document:			
<input type="checkbox"/> Photocopy <input type="checkbox"/> Certified Photocopy <input type="checkbox"/> Certified True copy		Date or Period of Document:	
Purpose of Request: <i>(Please be specific as possible)</i>			
Any relevant information:			
<b>C. DECLARATION</b> I declare and certify that the information provided in this form is complete and correct. I am aware that giving false or misleading information or using forged documents is a criminal offense. I bind myself and my principal to use the requested information only for the specific purpose stated and subject to such other conditions as may be prescribed by the Western Mindanao State University. I understand that the Western Mindanao State University may collect, use and disclose personal information contained in this request.			
Signature		Date Accomplished (dd/mm/yyyy)	
<b>D. FOI Receiving Officer [INTERNAL USE ONLY]</b>			
Name:		Unit/ Office:	
Date Received: (dd/mm/yyyy)		Proof of ID Presented:	
FDM Assigned: <i>(Complete Name)</i>		Request Recommendation: <input type="checkbox"/> Approved <input type="checkbox"/> Denied	
If Denied, please tick the Reason for Denial: <input type="checkbox"/> Invalid Request <input type="checkbox"/> Incomplete <input type="checkbox"/> Data is available online <input type="checkbox"/> Exception Which Exception? _____			
Date request finished: (dd/mm/yyyy)		Date documents (if any) sent: (dd/mm/yyyy)	
FRO Signature:		Date: (dd/mm/yyyy)	



## ANNEX "F – 1"

### FOI RESPONSE TEMPLATE – DOCUMENT ENCLOSED



Republic of the Philippines  
**WESTERN MINDANAO STATE UNIVERSITY**  
Normal Road, Baliwasan, Zamboanga City  
Tel. No. 991 – 1771 Fax No. (062) 992 – 4288



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### FOI RESPONSE TEMPLATE – DOCUMENT ENCLOSED

DATE

Dear \_\_\_\_\_,

Greetings!

Thank you for your request dated *<insert date>* under Executive Order No. 2 (s.2016) on Freedom of Information in the Executive Branch.

#### Your request

You asked for *<quote request exactly, unless it is too long/complicated>*.

#### Response to your request

Your FOI request is approved. I enclosed a copy of [some / most / all] of the information you requested [in the format you asked for].

Thank you.

Respectfully,

---

FOI Receiving Officer



**ANNEX "F – 2"**  
**FOI RESPONSE TEMPLATE – ANSWER**



Republic of the Philippines  
**WESTERN MINDANAO STATE UNIVERSITY**  
Normal Road, Baliwasan, Zamboanga City  
Tel. No. 991 – 1771 Fax No. (062) 992 – 4288



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**FOI RESPONSE TEMPLATE – ANSWER**

DATE

Dear \_\_\_\_\_,

Greetings!

Thank you for your request dated *<insert date>* under Executive Order No. 2 (s.2016) on Freedom of Information in the Executive Branch.

Your request

You asked for *<quote request exactly, unless it is too long/complicated>*.

Response to your request

Your FOI request is approved. The answer to your request is *<insert answer>*.

Thank you.

Respectfully,

\_\_\_\_\_  
FOI Receiving Officer



## ANNEX "F – 3"

### FOI RESPONSE TEMPLATE – UNDER EXCEPTIONS



Republic of the Philippines  
**WESTERN MINDANAO STATE UNIVERSITY**  
Normal Road, Baliwasan, Zamboanga City  
Tel. No. 991 – 1771 Fax No. (062) 992 – 4288



### FOI RESPONSE TEMPLATE – UNDER EXCEPTIONS

DATE

Dear \_\_\_\_\_,

Greetings!

Thank you for your request dated <insert date> under Executive Order No. 2 (s.2016) on Freedom of Information in the Executive Branch.

#### Your request

You asked for <quote request exactly, unless it is too long/complicated>.

#### Response to your request

While our aim is to provide information whenever possible, in this instance we are unable to provide [some of] the information you have requested because an exception(s) under section(s) <insert specific section number(s) applies are explained in the annex to this letter.

#### Your right to request a review

If you are unhappy with this response to your FOI request, you may ask us to carry out an internal review of the response, by writing the University President. Your review request should explain why you are dissatisfied with this response, and should be made within 15 calendar days from the date when you received this letter.

We will complete the review and tell you the result, within 30 calendar days from the date when we receive your review request.

If you are not satisfied with result of the review, you then have the right to appeal to the Office of the President under Administrative Order No. 22 (s.2011).

Thank you.

Respectfully,

\_\_\_\_\_  
FOI Receiving Officer







## **ANNEX “G”**

### **APPEAL AND REVIEW COMMITTEE GUIDELINES**

**Section 1. Filing** – Decisions of the FOI Decision Maker on the request of information may be appealed to the Central Appeals and Review Committee within a period of fifteen (15) days from receipt thereof.

All decisions of the FOI Decision Maker are immediately executor pending appeal before the Committee.

**Section 2. When deemed filed.** – An Appeal sent by registered mail shall be deemed filed on the date shown by the postmark on the envelope which shall be attached to the records of the FOI Request. In case of personal delivery, it is deemed filed on the date stamped thereon by the Committee.

**Section 3. Appeal Fee** – The appellant--requester shall pay an appeal fee and a copy of the official receipt thereof shall be attached to the appeal

**Section 4. Perfection of an Appeal** – To perfect an appeal, the appellant--requester shall submit five (5) copies of the following documents:

- a. Appeal statement/memorandum containing the grounds relied upon for the appeal, together with the certified true copy of the decision of the FOI Decision Maker. The appeal statement/memorandum shall be filed with the Central Appeals and Review Committee at the President’s Office, copy furnished the FOI Decision Maker concerned. The latter shall submit the FOI Request, with its comment, within fifteen (15) days from receipt, to the Central Appeals and Review Committee;
- b. Proof of service of a copy of the appeal statement/memorandum to the FOI Decision Maker;
- c. Proof of payment of the appeal fee; and
- d. A statement or certificate of non--forum shopping.



When an appellant--requester fails to comply with any of the above requirements within the reglementary period, the Central Appeals and Review committee shall direct compliance within a period of not more than ten (10) days from receipt thereof, with a warning that failure to comply shall be construed as failure to perfect an appeal and shall cause the dismissal of the appeal with prejudice to its refiling.

**Section 5. Effect of Filing** – Except where a temporary restraining order is issued by a competent court, an appeal shall not stop the decision from being executor.

**Section 6. Decision of the Appeal** – The Appeal be decided within thirty (30) working days from the filing of said written appeal. Failure of such person or office to decide within the afore--stated period shall be deemed a denial of the appeal.

**Section 7. When to Remand an Appealed request to FOI Decision Maker of origin** - If on appeal, the Central Appeals and Review Committee finds that the FOI Decision Maker violated the appellant--requester's right to freedom of information as the requested information is not covered by the exceptions or information's that should not be released and disclosed.

Source: Revised Rules on Administrative Cases in Civil Service  
FOI Manual  
EO No.2, July 23, 2016



## ANNEX “H” FOI INVENTORY, REGISTRY, SUMMARY<sup>11</sup>

**Section 1. Agency Information Inventory.** The Agency Information Inventory is a master list of all information recorded, stored, kept or under the control and custody of a government office pursuant to law, executive order, and rules and regulations or in connection with the performance or transaction of official business by any government office.

The revised and simplified Agency information Inventory shall be submitted annually and shall contain the following data:

Data	Description
<b>Agency abbreviation</b>	Abbreviated name of the agency
<b>Agency name</b>	Official name of the agency
<b>Title</b>	Title of the information
<b>Description</b>	Short description of what information contains
<b>Online publication</b>	If information is available online (either <b>YES</b> or <b>NO</b> )
<b>File format</b>	Available file types/ formats of the information ( <i>ie.</i> , <b>CSV, XLS, SHO, KML, TXT, PDF, DOC, PNG, JPEG, etc.</b> )
<b>Location or URL</b>	URL of information if available online; otherwise, indicate physical location
<b>Disclosure</b>	Level of disclosure of information, whether: <ul style="list-style-type: none"> <li>- <b>Public:</b> available for public consumption;</li> <li>- <b>Exception:</b> Information is covered by the Exceptions lists;</li> <li>- <b>Internal:</b> Information is for agency consumption</li> <li>- <b>With fee:</b> information can be disclosed but with corresponding charges based on agency’s mandate/ policies/ business model; and,</li> <li>- <b>Limited:</b> Information can be disclosed to specific person/s and/ or entity/ies upon verification of requesting party’s identity.</li> </ul>
<b>Original Data owner</b>	Agency or office who processed the data.
<b>Data maintainer</b>	Specific unit under the agency/ office who is responsible for the data.

<sup>11</sup> Presidential Communications Operations Office FOI-MC No. 01 s. 2018



<b>Date Released</b>	Date when information was/ is initially released (YYYY-MM-DD)
<b>Frequency of update</b>	If information is regularly published, whether daily, weekly, monthly, quarterly, biannually, or annually.

Sample:

agency_abbrev	agency_name	title	description	file_format	online_publication	location_or_url	disclosure	original_data_owner	data_maintainer	date_released_for_coverage	frequency_of_update
	Agricultural Credit Policy Council	Memorandum	MEMORANDUM: Status and Update Request for Updates	N/A	no	N/A	public	DA	Undersecretary	2018-01-10	Daily, Annually, Quarterly, Monthly
		Memorandum	M: Directives and Commitments made during the Secretary's	N/A	no	N/A	public	DA	Undersecretary	2018-01-24	
		Memorandum	MEMORANDUM: Directives and Commitments	N/A	no	N/A	public	DA	Undersecretary	2018-02-06	
		Memorandum	MEMORANDUM: Request for Financial Assistance for Livelihood	N/A	no	N/A	public	DA	Undersecretary	2018-02-06	
		Memorandum	MEMORANDUM: Status Update Request for Updates	N/A	no	N/A	public	DA	Undersecretary	2018-02-20	

**Section 2. FOI Quarterly Registry.** The FOI Quarterly Registry is a log of all FOI Request- both in standard and electronic-received by an agency for the specific quarter covered by the submission. The Registry, to be managed by the FOI Receiving Officer (FRO), will be the basis for measuring agency performance and compliance on the FOI program implementation.

The revised and simplified FOI Registry shall be submitted quarterly and shall contain the following data:

Data	Description
<b>Year-Quarter</b>	Year and quarter of report coverage
<b>Tracking Number</b>	Internal FOI tracking number of the one indicated in electronic FOI (eFOI) portal
<b>Request type</b>	Either <b>eFOI</b> (if through the eFOI portal) or <b>standard</b> (if <b>paper-based</b> )
<b>Date received</b>	Date the request was lodged by the requesting party (YYYY-MM-DD)
<b>Status</b>	Status of request whether: - <b>Successful:</b> information being requested has been provided through the eFOI portal or standard means;



	<ul style="list-style-type: none"> <li>- <b>Proactively disclosed:</b> Information has already been uploaded via the agency's website even before it was requested;</li> <li>- <b>Partially successful:</b> out of many requests, only a number has been provided by the agency;</li> <li>- <b>Info under exceptions:</b> request has been denied since it is covered by exception list;</li> <li>- <b>Info not maintained:</b> request has been denied since it is not handled, maintained, or stored by the agency</li> <li>- <b>Invalid request:</b> request is a question, not an actionable item, or is not a request for information</li> <li>- <b>Closed:</b> the requesting party failed to provide the information needed for clarification within 60 calendar days</li> <li>- <b>Pending:</b> request submitted by the requesting party but not yet acknowledged by the FOI Receiving Officer</li> <li>- <b>Accepted:</b> request acknowledged by the FOI Receiving Officer forwarded to the FOI Decision Maker concerned</li> <li>- <b>Awaiting clarification:</b> request has been returned to the requesting party for clarification or for additional information</li> <li>- <b>Processing:</b> request has been received by the Decision Maker and is currently being processed.</li> </ul>
<b>Date finished</b>	Date the request was processed/ finished by the agency ( <b>YYYY-MM-DD</b> ); if not yet processed/ finished indicate <b>ONGOING</b>
<b>Date lapsed</b>	Number of days lapsed facilitating the request
<b>Cost</b>	Feed paid by the requesting party to facilitate the request; if none, indicated <b>FREE</b>
<b>Appeal/s filed</b>	If the requesting party or any citizen filed an appeal for the specific request (either <b>YES</b> or <b>NO</b> )
<b>Remarks</b>	Additional details about the request

Sample:

Year-Quarter	Tracking Number	Request Type	Date Received	Title of Request	Extension?	Status	Date Finished	Days Lapsed	Cost	Appeals filed?	Remarks
2016-Q4	n/a	n/a	n/a	n/a	n/a	n/a	n/a	n/a	n/a	n/a	NO FOI REQUESTS RECEIVED
2017-Q1	n/a	n/a	n/a	n/a	n/a	n/a	n/a	n/a	n/a	n/a	NO FOI REQUESTS RECEIVED
2017-Q2	n/a	n/a	n/a	n/a	n/a	n/a	n/a	n/a	n/a	n/a	NO FOI REQUESTS RECEIVED
2017-Q3	n/a	n/a	n/a	n/a	n/a	n/a	n/a	n/a	n/a	n/a	NO FOI REQUESTS RECEIVED
2017-Q4	n/a	n/a	n/a	n/a	n/a	n/a	n/a	n/a	n/a	n/a	NO FOI REQUESTS RECEIVED
2018-Q1	n/a	STANDARD	2018-01-04	MEMORANDUM: Status and Update Re: Request for Updates Regarding the Secretary's Commitments During His Visit to General Santos City on July 18, 2017	NO	Successful	2018-01-10	3	FREE	No	NO FOI REQUESTS RECEIVED
2018-Q1	n/a	STANDARD	2018-01-16	MEMORANDUM: Directives and Commitments made during the Secretary's Engagement in Molave, Zamboanga del Sur and in Sibuco, Zamboanga del Norte on 5 January 2018	NO	Successful	2018-01-24	7	FREE	No	NO FOI REQUESTS RECEIVED
2018-Q1	n/a	STANDARD	2018-01-25	MEMORANDUM: Directives and Commitments made during the Secretary's Engagement in Molave, Zamboanga del Sur and in Sibuco, Zamboanga del Norte on 5 January 2018	NO	Successful	2018-02-06	6	FREE	No	NO FOI REQUESTS RECEIVED
2018-Q1	n/a	STANDARD	2018-01-30	MEMORANDUM: Request for Financial Assistance for Livelihood Projects	NO	Successful	2018-02-06	5	FREE	No	NO FOI REQUESTS RECEIVED
2018-Q1	n/a	STANDARD	2018-02-06	MEMORANDUM: Status Update Re Request for NO Updates Regarding the Secretary's Commitments During His Trip for the Biyaheng Huli for June 2017	NO	Successful	2018-02-20	9	FREE	No	NO FOI REQUESTS RECEIVED



**Section 3. FOI Quarterly Summary.** The FOI Registry shall be accompanied with the FOI Quarterly Summary which outlines the quantitative performance of the agency. The Summary shall include the total number of requests received by the agency according to the status.

Sample:

STATUS OF PROCESSED REQUESTS													STATUS OF ONGOING REQUESTS								
Parent Agency Name	Attached Agency Name	Agency Name	Agency Type	Year-Quarter	Request Type	Total Processed Requests	Successful	Proactive/Disclosed	Partially Successful	Info Under Exceptions	Info Not Maintained	Invalid Request	Closed	Total Number of Days Lapsed	Average Processing Time	Ongoing Requests	Pending	Accepted	Awaiting Clarification	Processing	
(if any)	(if any)	acronym	NGA / GOC / SIC / LMO / LGU	year and quarter of report coverage	eFOI / Standard	total number of requests which are already processed	number of requests where information has been uploaded or provided	number of requests where information has been provided through the agency's website even before it was requested	number of requests where many requests, only a number has been provided by the agency	number of requests denied since it is under the FOI Exemptions List	number of requests denied since it is not a request for information needed for clarification	number of requests denied since it is an item or not a request for information needed for clarification	number of requests closed since the party failed to provide the information needed for clarification	total number of days lapsed facilitating requests	total number of days lapsed over the total number of processed requests for the period of coverage (do not include ongoing requests)	total number of requests currently being processed	number of requests submitted by the requestor but not yet acknowledged by the Receiving Officer	number of requests acknowledged and forwarded to the Decision Maker	number of requests returned to the requestor for some clarification or if he/she failed to provide all requirements such as a valid ID	number of requests received by the Decision Maker and is currently being processed	
4	Presidential	Presidential	PCOO	NGA	2016-Q4	eFOI	23	3	3	1	1	10	4	1	114	4.96	0	0	0	0	0
5	Presidential	Presidential	PCOO	NGA	2017-Q1	eFOI	35	12	0	0	1	21	1	0	310	8.86	0	0	0	0	0
6	Presidential	Presidential	PCOO	NGA	2017-Q2	eFOI	24	7	1	3	1	10	1	1	266	11.08	0	0	0	0	0
7	Presidential	Presidential	PCOO	NGA	2017-Q3	eFOI	12	2	1	0	0	5	2	2	116	9.67	0	0	0	0	0
8	Presidential	Presidential	PCOO	NGA	2017-Q4	eFOI	10	7	0	1	0	2	0	0	184	18.40	0	0	0	0	0
9	Presidential	Presidential	PCOO	NGA	2018-Q1	eFOI	-	-	-	-	-	-	-	-	-	-	2	2	0	0	0



**ANNEX "I"**

**WMSU FREEDOM OF INFORMATION BOARD RESOLUTION**



Republic of the Philippines  
**WESTERN MINDANAO STATE UNIVERSITY**

Normal Road, Baliwasan, Zamboanga City 7000  
Tel. No. 992-4238 Fax No. (062) 955-3065

**EXCERPTS FROM THE MINUTES OF THE 179<sup>th</sup> REGULAR MEETING (81<sup>st</sup> REGULAR MEETING UNDER R.A. NO. 8292) OF THE WESTERN MINDANAO STATE UNIVERSITY BOARD OF REGENTS (WMSU-BOR) HELD AT THE WALING-WALING FUNCTION ROOM, GARDEN ORCHID HOTEL, ZAMBOANGA CITY ON FEBRUARY 22, 2018, 3:00 P.M.**

**RESOLUTION NO. 12**

Series 2018

**A RESOLUTION APPROVING THE WESTERN MINDANAO STATE UNIVERSITY (WMSU) FREEDOM OF INFORMATION MANUAL (FOI)**

**WHEREAS**, pursuant to Executive Order No. 02, s. 2016 re: Operationalizing in the Executive Branch the People's Constitutional Right to Information and the State Policies to Full Public Disclosure and Transparency in the Public Service and Providing Guidelines thereof;

**WHEREAS**, the purpose of this WMSU Freedom of Information Manual (WMSU FOI Manual), is to provide the process to guide and assist the Western Mindanao State University (WMSU) and its campuses, in dealing with requests for information received under Executive Order (E.O.) No. 2, Series of 2016 on Freedom of Information (FOI);

**WHEREAS**, the Manual shall set out the rules and procedures to be followed by WMSU and its campuses, when a request for access to information is received;

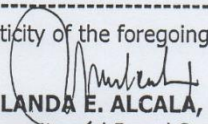
**WHEREAS**, WMSU Academic Council deliberated and endorsed the WMSU FOI for deliberation and approval of the Board of Regents

**WHEREAS**, the President Dr. Milabel Enriquez-Ho presented to the Board of Regents the WMSU FOI Manual for deliberation and approval;


**NOW THEREFORE**, upon motion of Vice-Chair Milabel E. Ho and duly seconded by Regents Teresita Socorro C. Ramos and Ibnohajar L. Binang, **BE IT RESOLVED AS IT IS HEREBY RESOLVED**, That the WMSU Board of Regents approve the WMSU FOI Manual.

**DONE** this 22<sup>nd</sup> day of February 2018 in Zamboanga City, Philippines.

I hereby certify the veracity and authenticity of the foregoing.

  
**YOLANDA E. ALCALA, Ph.D.**  
University and Board Secretary

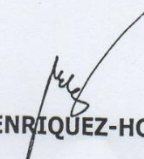
Approved:

  
**HON. PERFECTO A. ALBIN**  
Commissioner, CHED  
Chair-Designate, WMSU-BOR

Resolution No. 12, s. 2018 (179<sup>th</sup> Regular Board Meeting)  
WMSU-UBS-BR-001.00  
Effective date: 22-Feb-2017





  
**HON. MILABEL ENRIQUEZ-HO, RN, Ed.D.**  
President, WMSU  
Vice-Chair, WMSU-BOR

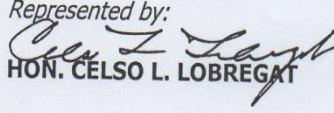
**HON. FRANCIS JOSEPH G. ESCUDERO**  
Chairman, Senate Committee on  
Education, Arts and Culture

**HON. ANN K. HOFER**  
Chairman, House Committee on  
Higher and Technical Education

*Represented by:*

*Represented by:*

  
**HON. RICKY B. ILETO**

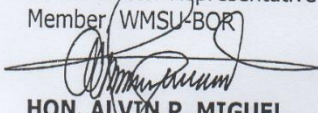
  
**HON. CELSO L. LOBREGAT**

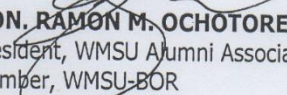
*DR. 2202.18*  
**HON. TERESITA SOCORRO C. RAMOS**  
Regional Director, NEDA RO-IX  
Member, WMSU-BOR

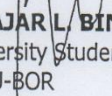
*2/22/18*  
**HON. MARTIN A. WEE**  
OIC-Regional Director, DOST RO-IX  
Member, WMSU-BOR

**HON. EDWIN M. CALIOLIO**  
Private Sector Representative  
Member, WMSU-BOR

**HON. INOCENTE P. LOCSON**  
Private Sector Representative  
Member, WMSU-BOR

  
**HON. ALVIN P. MIGUEL**  
President, WMSU Faculty Union  
Member, WMSU-BOR

  
**HON. RAMON M. OCHOTORENA, Ph.D.**  
President, WMSU Alumni Association Inc.  
Member, WMSU-BOR

  
**HON. IBNOHAJAR L. BINANG**  
President, University Student Council  
Member, WMSU-BOR